



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

Ey  
2

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/435,668	11/08/1999	KEITH WAYNE GOOSSEN	GOOSSEN-80	5527

7590

02/27/2003

HENRY I SCHANZER ESQ  
29 BROOKFALL ROAD  
EDISON, NJ 08817

EXAMINER

JACKSON, CORNELIUS H

ART UNIT PAPER NUMBER

2828

DATE MAILED: 02/27/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/435,668

Applicant(s)

GOOSSEN, KEITH WAYNE

Examiner

Cornelius H. Jackson

Art Unit

2828

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 12 December 2002.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-4 and 6-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 6-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

*Paul IP*

PAUL IP

SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2800

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

Art Unit: 2828

## DETAILED ACTION

### *Acknowledgement*

1. Acknowledgment is made that applicant's Amendment, filed on 20 February 2002, has been entered. Claims 8-12 were added. Claims 1-4 and 6-12 are now pending.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-4 and 6-12 are rejected under 35 U.S.C. 102(b) as being anticipated by Park (5524092). Park discloses a metal capped mirror **Fig. 2**, comprising a layer **12** consisting of tin oxide to which the metal capping layer **11** is directly adhered.

Regarding claim 2, Park discloses at the end of the stack comprising an integral number of pairs of dielectric layers, **see Figs. 1 and 2**.

Regarding claim 3, Park discloses all of the stack layers other than the end layer of tin oxide are materials other than tin oxide, **see col. 5, lines 5-21**.

Regarding claim 4, Park discloses the tin oxide layer is one of a pair of dielectric layers disposed at the stack end, **see Fig. 1**.

Art Unit: 2828

Regarding claims 6-8, Park discloses all the stated limitations.

Regarding claims 9-10, Park discloses the meal is of gold, **see col. 4, lines 14-19 and col. 4, lines 54-59.**

Regarding claims 11-12, Park discloses all the stated limitations, **see Figs. 1 and 2.**

### ***Response to Arguments***

Applicant's arguments filed 12 December 2002 have been fully considered but they are not persuasive.

Applicant argued the following:

a. The Park reference fails to teach or disclose the invention as recited in claim 1, in particularly "a layer consisting of tin oxide".

b. The use of the indium tin oxide layer in Park does not anticipate or disclose the use of the claimed tin oxide of the Applicant's invention.

Examiner replies to the Applicant's arguments are as follows:

a. Tin oxide is a conducting oxide, **see Hebrink et al. (6449093), col. 14, lines 38-44.**

b. Park uses indium tin oxide as an example of a common oxide used, so that one skill in the art at the time the invention was made would know the type of material that maybe used, **see Bandettini et al. (5959762), col. 7, lines 42-44.**

Art Unit: 2828

**Conclusion**

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Hood et al. (5071206) **Fig. 1A, col. 4, lines 50-54, col. 5, lines 1-3 and col. 5, line 59-col. 6, line 12** disclose a layer of tin oxide bonded to a metal capped layer.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cornelius H. Jackson whose telephone number is (703) 306-5981. The examiner can normally be reached on 8:00 - 5:00, Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paul Ip can be reached on (703) 308-3098. The fax phone numbers for the organization where this application or proceeding is assigned are (703)308-7722 for regular communications and (703)308-7721 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)308-0956.

*CHJ*

chj  
February 23, 2003

*Paul Ip*  
PAUL IP  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2800